

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/783,233	02/14/2001	George T. Spitz	390533

CONFIRMATION NO. 1553

## FORMALITIES LETTER



\*OC000000005869151\*

LATHROP & GAGE, LC  
Suite 2800  
2345 Grand Boulevard  
Kansas City, MO 64108

Date Mailed: 03/16/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

00000001 05783233

130.00 US

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~~SECTION~~



**PATENT**

Attorney Docket No.: 390533

Express Mail Label No.: EL846918581US

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): George T. Spitz, et al.

Examiner: Not Assigned

Serial No. 09/783,233

Group Art Unit: 1714

Filed: February 14, 2001

For: Alkoxymethyl Melamine Crosslinkers

**SUBMISSION OF MISSING PARTS**

Assistant Commissioner for Patents

BOX: MISSING PARTS

Washington, D.C. 20231

Dear Sir:

In response to the Notice of Missing Parts dated March 16, 2001 (copy appended),  
enclosed herewith find the following:

1. Combined Declaration And Power of Attorney
2. Assignment.
3. Assignment Cover Sheet

---

**CERTIFICATE UNDER 37 C.F.R. § 1.8 and § 1.10**

I hereby certify that, on the date shown below, this correspondence is being

- ☒ deposited with the United States Postal Service in an envelope addressed to Box: Missing Parts, Assistant Commissioner for Patents, Washington, D.C. 20231 with sufficient postage:

**MAILING**

- 37 C.F.R. § 1.8**  
☐ as first class mail.

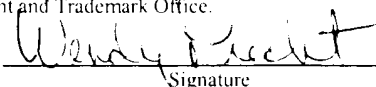
- 37 C.F.R. § 1.10**  
☒ as "Express Mail Post Office to Addressee"  
EXPRESS MAIL NO. EL846918581US

**FACSIMILE TRANSMISSION**

- ☐ transmitted by facsimile to the Patent and Trademark Office.

Wendy Precht

Name of Depositor

  
Signature

May 16, 2001

Date

**PATENT**

Attorney Docket No.: 390533

Express Mail Label No.: EL846918581US

4. Power of Attorney By Assignee of Entire Interest and Certificate of Assignee

Under 37 CFR 3.73(b)


5. Fee Transmittal
6. Check for \$170.00
7. Information Disclosure Statement Filed Under 37 C.F.R. § 1.97(b)
8. Form 1449 (Modified)
9. Copy of the 8 references listed on Form 1449
10. Certificate of Mailing
11. Return Post Card

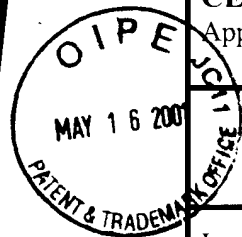
If any fee has been inadvertently overlooked, please charge deposit account number 12-0600.

The Examiner is encouraged to contact the undersigned if prosecution of the application may be expedited thereby.

Respectfully submitted:

LATHROP & GAGE LLC

  
Kenneth D. Goetz, Reg No. 32,696  
2345 Grand Boulevard, Suite 2800  
Kansas City, MO 64108  
Tel No: 816/460-5849  
Fax No: 816/292-2001

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**

Applicant(s): George T. Spitz, et al.

Matter No.

390533

Serial No.

09/783,233

Filing Date

February 14, 2001

Examiner

Not Assignment

Group Art Unit

1714

Invention: Alkoxymethyl Melamine Crosslinkers

I hereby certify that this: Submission of Missing Parts (2 pages); Copy of the Notice to File Missing Parts of Nonprovisional Application (1 page); Fee Transmittal (1 page); Combined Declaration and Power of Attorney (7 pages); Recordation Form Cover Sheet (1 page); Assignment (5 pages); Power of Attorney By Assignee of Entire Interest and Certificate of Assignee Under 37 CFR 3.73(b) (3 pages); Information Disclosure Statement Filed Under 37 CFR 1.97(b) (2 pages); Form 1449 (1 page); Copy of 8 References listed on Form 1449; Check for \$170.00; Certificate of Mailing by Express Mail (1 page); and this postcard is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Box: Missing Parts, The Assistant Commissioner for Patents, Washington, D.C. 20231 on this 16th day of May, 2001.

Wendy Precht

Name of Depositor



Signature of Depositor

EL846918581US

Express Mail Label No.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<div style="display: flex; align-items: center;"> <div> <h2 style="margin: 0;">FEE TRANSMITTAL</h2> <p style="font-size: small; margin: 0;">Patent fees are subject to annual revision.</p> </div> </div>				Complete if Known																																																																																																																																																																															
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1. <input checked="" type="checkbox"/> The commissioner is hereby authorized to charge indicated fees and credit any overpayments to: Deposit Account Number <u>12-0600</u> Deposit Account Name <u>Lathrop &amp; Gage, I.C.</u> <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27  2. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other				3. <b>ADDITIONAL FEES</b> <table style="width: 100%; font-size: small;"> <thead> <tr> <th>Large Fee Code</th> <th>Entity Fee (\$)</th> <th>Small Fee Code</th> <th>Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65</td><td>Surcharge - late filing fee or oath</td><td><u>130</u></td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130</td><td>Non-English specification</td><td></td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td><td>For filing a request for <i>ex parte</i> reexamination</td><td></td></tr> <tr><td>112</td><td>920*</td><td>112</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>113</td><td>1,840*</td><td>113</td><td>1,840*</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>115</td><td>110</td><td>215</td><td>55</td><td>Extension for reply within first month</td><td></td></tr> <tr><td>116</td><td>390</td><td>216</td><td>195</td><td>Extension for reply within second month</td><td></td></tr> <tr><td>117</td><td>890</td><td>217</td><td>445</td><td>Extension for reply within third month</td><td></td></tr> <tr><td>118</td><td>1,390</td><td>218</td><td>695</td><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>128</td><td>1,890</td><td>228</td><td>945</td><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>119</td><td>310</td><td>219</td><td>155</td><td>Notice of Appeal</td><td></td></tr> <tr><td>120</td><td>310</td><td>220</td><td>155</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>121</td><td>270</td><td>221</td><td>135</td><td>Request for oral hearing</td><td></td></tr> <tr><td>138</td><td>1,510</td><td>138</td><td>1,510</td><td>Petition to institute a public use Proceeding</td><td></td></tr> <tr><td>140</td><td>110</td><td>240</td><td>55</td><td>Petition to revive - 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SUBMITTED BY				Complete (if applicable)	
Name (Print/Type)	Kenneth D. Goetz	Registration No. (Attorney Agent)	32,696	Telephone	816-460-5849
Signature		Date	May 16, 2001		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement. This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.